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PTO/SB/05 (12/97)

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No. 6217 Total Pages 54 First Named Inventor or Application Identifier Ronald E. Barker Express Mail Label No. EL017097555US
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APPLICATION ELEMENTS	
See MPEP chapter 600 concerning utility patent application contents.	
1. <input checked="" type="checkbox"/> Fee Transmittal Form <small>(Submit an original, and a duplicate for fee processing)</small>	
2. <input checked="" type="checkbox"/> Specification [Total Pages 35] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 	
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 19]	
4. Oath or Declaration [Total Pages <input type="text"/>] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> <i>Note Box 5 below</i> <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 	
5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) <small>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</small>	
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____	
ACCOMPANYING APPLICATION PARTS	
8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small> 14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application, Statement(s) <input type="checkbox"/> Status still proper and desired 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 16. <input checked="" type="checkbox"/> Other: Auth...to.chg.Deposit.Account..... .Unsigned.Declaration..... Certificate.of.Express.Mail	

<input type="checkbox"/> Customer Number or Bar Code Label <small>(Insert Customer No. or Attach bar code label here)</small>		<input type="checkbox"/> Correspondence address below	
NAME	Stephen J. Manich AMSTED Industries Incorporated		
ADDRESS	205 North Michigan Avenue, 44th Floor		
CITY	Chicago	STATE	Illinois
COUNTRY	USA	TELEPHONE	312-819-8481
ZIP CODE 60601			
FAX 312-819-8484			

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Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231



Sir:

Transmitted herewith for filing a patent application:

Inventor: Ronald E. Barker et al.

For: RAILCAR DRAFT GEAR ASSEMBLY AND SYSTEM BACKGROUND OF THE INVENTION

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that this patent application is being deposited with the United States Postal Service "Express Mail" (fee paid label No. **EL017097555US**) in an envelope addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on September 7, 2000.

Stephen J. Manich
Type or Print Name

Stephen J. Manich
Signature

September 7, 2000
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Barker et al.

Art Unit:
Examiner:

Serial No.:

Filed:

For: RAILCAR DRAFT GEAR
ASSEMBLY AND SYSTEM
BACKGROUND OF THE INVENTION

I hereby certify that this correspondence is being deposited with the United States Postal Service 'Express Mail' (fee paid label No. EL01709755US) in an envelope addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231, on September 7, 2000

Applicant's Attorney: Stephen J. Manich

Signature: Stephen J. Manich
Date: Sept. 7, 2000

U.S. PTO
657297
6907/00

AUTHORIZATION TO CHARGE FEES TO DEPOSIT ACCOUNT

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The Commissioner is hereby authorized to charge the following fees and to credit any overpayment to deposit account no. 01-1780 in the name of AMSTED INDUSTRIES, INC.: the application filing fees under 37 CFR 1.16; extra claim fees under 37 CFR 1.16; surcharges under 37 CFR 1.16; all application processing fees under 37 CFR 1.17, including all fees for petitions for extensions of time; and any other fee that may be due with the accompanying patent application.

Respectfully submitted,

September 7, 2000

By:

Stephen J. Manich
Stephen J. Manich
Attorney for Applicants
Reg. No. 30,657

AMSTED Industries Incorporated
205 North Michigan Avenue
44th Floor
Chicago, IL 60601
(312) 819-8481

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FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 990.00

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Ronald E. Barker
Examiner Name	
Group / Art Unit	
Attorney Docket No.	6217-Barker et al.

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-1780

Deposit Account Name AMSTED Industries Inc.

Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	216	680	Extension for reply within fourth month	
128	1,850	226	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	210	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	241	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
128	240	240	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					
SUBTOTAL (2) (\$ 300.00				SUBTOTAL (3) (\$	

* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$

SUBMITTED BY

Complete if applicable

Name (Print/Type)	Stephen J. Manich	Registration No. (Attorney/Agent)	30,657	Telephone	312-819-8481
Signature	<i>Stephen J. Manich</i>		Date	September 7, 2000	

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